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Date of Deposit: February 7, 2003

Attorney Docket No.: 00689-511 CIP (C045 CIP US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Robert S. Landsman, Ph.D.  
Art Unit : 1647  
Applicants : Johansen and Sah  
Serial No. : 09/804,615  
Filed : March 12, 2001  
For : NOVEL NEUROTROPHIC FACTORS



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PATENT TRADEMARK OFFICE

February 7, 2003  
New York, New York

Commissioner of Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Transmitted herewith for filing in the above-referenced patent application are the following documents:

- ☒ Supplemental Information Disclosure Statement (2 pages), in duplicate;
- ☒ Modified Form 1449/PTO (1 page), in duplicate;
- ☒ Cited References B5-B9 and C26-C27; and
- ☒ Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 212-935-3000, New York, New York. A duplicate copy of this transmittal letter is enclosed herein.

The Director is authorized to charge all fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311, Ref. No. 00689-511 CIP (C045 CIP US).

Respectfully submitted,

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Scott D. Miller, Reg. No. 43,803

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APPLICANTS: Johansen and Sah  
SERIAL NUMBER: 09/804,615  
FILING DATE: March 12, 2001  
FOR: Novel Neurotrophic Factors

EXAMINER: Roberts S. Landsman, Ph.D.  
ART UNIT: 1647

**BOX IDS**

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Supplemental Information Disclosure Statement is being filed within three months of the mailing date of the International Search Report for PCT/EP02/02691, which corresponds to the above-identified application. Accordingly, no fee or certification is believed required.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed modified Form PTO-1449 to evidence that the cited information has been fully considered by the United States Patent & Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

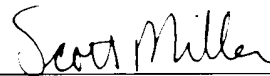
APPLICANTS:  
U.S.S.N.:

Johansen and Sah  
09/804,615

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 00689-511 CIP (C045 CIP US).

Respectfully submitted,



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Dated: February 7, 2003

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